

Docket No. 62225-160

Section 1
✓ #3
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :
Syed K. QURAISHI, et al. :
Serial No.: 09/827,333 / : Group Art Unit: 2163
Filed: April 06, 2001 : Examiner: not assigned
For: A RULES ENGINE HAVING USER ACTIVATED RULES OF SELECTABLE SCOPE
AND SELECTABLE OUTCOMES

RESPONSE TO NOTICE
OF MISSING PARTS OF APPLICATION

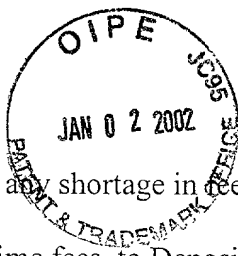
Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice of Missing Parts of Application dated October 1, 2001,
submitted herewith are the following documents for filing in the above-referenced application:

1. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63)
2. Statutory Basic filing fee of \$740.00
3. Late Filing Fee Surcharge of \$130.00
4. Assignment
5. Petition for Extension of Time
6. Preliminary Amendment
7. Formal Drawings
8. Supplemental CD Rom Transmittal Letter
9. Additional Claims fee of \$360.00

09/827,333



Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

David L. Stewart
Registration No. 37,578

600 13th Street, N.W.
Washington, DC 20005-3096
(202) 756-8000 DLS:prp
Date: January 2, 2002
Facsimile: (202) 756-8087

09/827,333



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/827,333	04/06/2001	Syed K. Quraishi	47271-072

CONFIRMATION NO. 2977

FORMALITIES LETTER



OC000000006820021

MCDERMOTT, WILL & EMERY
600 13th Street, N.W.
Washington, DC 20005-3096

Date Mailed: 10/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/03/2002 SMINASS1 00000064 500417 09827333

FILED UNDER 37 CFR 1.53(b)

01 FC:101 740.00 CH
02 FC:103 108.00 CH
03 FC:102 252.00 CH
04 FC:105 130.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. *#740*
Applicant must submit \$740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$348. *#360*
 - \$108 for 6 total claims over 20.
 - #252* \$240 for 3 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The compact disc(s) submitted fail to comply with 37 CFR 1.52(e) in that they contain non-ASCII files. A new duplicate set of compact discs with only ASCII files is required. Any replacement compact disc submitted should be accompanied by a certification as required by 37 CFR 1.52(e) that each disc of a duplicate set is identical to the other disc of the set. If a directory of the disc could be printed, it is attached and non-ASCII files are marked on the directory listing.

This application is objected to because it contains a data file on CD-ROM/CD-R, however, the transmittal letter does not list for each compact disc, the machine format, the operating system compatibility, a list of files contained on the compact disc including their names, sizes in bytes, and dates of creation, plus any other special information that is necessary to identify, maintain, and interpret the information on the compact disc as required by 37 CFR 1.52(e)(3). A statement listing the required information is required. Additionally, the disc(s) is not identified in the paper portion of the specification with a listing of all of the files contained on the disc. When portions of an application are contained on a compact disc, the paper portion of the specification must identify the compact disc(s) and list the files including name, file size, and creation date on each of the compact discs. See 37 CFR 1.52(e). Applicant(s) are required to amend the specification to identify each disc and the files contained on the disc including the file name, file size, and file creation date.

- The balance due by applicant is \$ ~~1188~~. \$1,230.00

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);



A copy of this notice **MUST** be returned with the reply.

A handwritten signature in cursive script, appearing to read "Karen Smith".

Customer Service Center

Initial Patent Examination Division (703) 308-1202